

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
KPO-LTT-P1/LTT-85/

In Re Application Of: **Ishihara, T.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/550,990 ✓	N/A	to be assigned	44702	1615	8274

Title: **Intravenous nanoparticles for targeting drug delivery and sustained drug release**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-3195 as described below.
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- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
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March 28, 2006

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Signature

Dated: March 28, 2006

Manette Dennis (Reg. No. 30,623)
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New York, New York 10177-0899

CC: Dr. Osamu Kusama

Sheet 2 of 3

Application Serial No. 10/550,990
Applicants: ISHIHARA, T
entitled: INTRAVENOUS NANOPARTICLES FOR TARGETING DRUG DELIVERY
AND SUSTAINED DRUG RELEASE

EP 1 398 025 A is the European regional application corresponding to WO 02/096396 A1. WO 02/096396 A1 is listed on the International Search Report for PCT/JP2004/003246 and was published on Dec. 5, 2002. EP 1 398 025 A1 is provided as an English equivalent to WO 02/096396 A1.

Japanese National Publication No. Hei 10-511957 is listed on page 1 of the application as filed. It is the Japanese National Phase application for International Application No. PCT/US96/00476. International Application No. PCT/US96/00476 was published as WO 96/20698, which is enclosed as a translation of Japanese National Publication No. Hei 10-511957.

Application Number	10/550,990
Filing Date	N/A
First Named Inventor	Ishihara, T.
Art Unit	1615
Examiner Name	to be assigned
Attorney Docket Number	KPO-LTT-P1/LTT-85/US

[illegible]

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁸
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
d	EP	1 002 529	A1	05-24-2000	Takeda Chemical Industries	whole document		
e	EP	1 398 025	A1	03-17 -2004	LTT Bio-Pharma Co., Ltd.	whole document		
f	JP	6-217051		06-27-1996	Takeda Chem Ind Ltd	whole document	✓	
g	JP	Hai-10-541967		11-17-1998	Univ Michigan	whole document	✓	
h	JP	2003-242106		12-03-2003	Makino KGC	whole document	✓	

Date Considered	12/13/2007
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and to the USPTO to process) an application. Confidentiality is guaranteed by 35 U.S.C. 122 and 37 CFR 1.114. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. (P.D.)

Substitute for form 1449B/PTO

(Use as many sheets as necessary)

Sheet	2	of	3
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Complete if Known

Application Number	10/550,990
Filing Date	N/A
First Named Inventor	Ishihara, T.
Art Unit	1615
Examiner Name	to be assigned
Attorney Docket Number	KPO-LTT-P1/LTT-85/US

[illegible]

Examiner Signature /Paul Dickinson/

Date Considered	12/13/2007
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Applicants who are not U.S. citizens or residents must file (PPTA) Form 100, *Request for Patent Examination*, with the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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